



Docket No.: 6001-001-52

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Raymond F. Ratcliff III

Group: 2143

SERIAL NUMBER: 09/818,003

Examiner: Kyung Shin

FILED: March 27, 2001

FOR: METHOD AND SYSTEM FOR SHARING INFORMATION USING A HANDHELD  
DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

January 25, 2005

Assistant Commissioner for Patents  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- ☒ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- ☒ Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

## BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

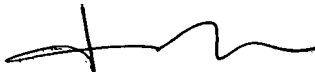
- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- ☐ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☒ with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-3266.

Respectfully submitted,

PIPER RUDNICK LLP



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DOCKET NO.: 6001-001-52

In re Application of: Raymond F. Ratcliff III

Serial No. 09/818,003

Examiner: Kyung Shin

Filed: march 27, 2001

Art Unit: 2143

For: METHOD AND SYSTEM FOR SHARING INFORMATION USING A HANDHELD DEVICE

### LIST OF RELATED CASES

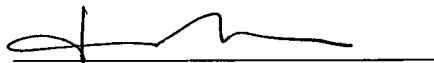
January 25, 2005

Docket Number	Serial or Patent Number	Filing or Issue Date	Status
6001-002-52	09/853,841	May 11, 2001	Pending

The cases listed on this Notice of Related Cases include cases which may contain information that is material to patentability. The listing of a case on this Notice should not be taken as an indication or admission that any information contained therein is material. Prior art for each case listed on this Notice may have been cited. **The files corresponding to the listed cases, which are available to the Examiner, may not have not been examined to ascertain the materiality of any prior art therein.** Accordingly, the Examiner is requested to review the file for each case listed on this Notice in order to assess the materiality of such prior art.

Respectfully submitted,

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FORM PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO.  6001-001-52		SERIAL NO.  09/818,003	
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)				APPLICANT  Raymond F. Ratcliff III			
				FILING DATE  March 27, 2001		GROUP ART UNIT  2143	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2002/0019844		Kurowski			
	AB	6,732,141	5/2004	Ellis			
	AC	6,167,428	12/2000	Ellis			
	AD	6,725,250	4/2004	Ellis, et al.			
	AE	6,041,398	3/2000	Pechanek			
	AF	6,510,509	1/2003	Chopra			
	AG	6,167,428	12/2000	Ellis			
	AH	5,680,548	10/1997	Trugman			
	AI	5,978,829	11/1999	Chung, et al.			
	AJ	6,112,225	8/2000	Kraft, et al.			
	AK	5,978,594	11/1999	Bonnell, et al.			
	AL	5,740,549	4/1998	Reilly, et al.			
	AM	5,655,081	8/1997	Bonnell, et al.			
	AN	2002/0013832	1/2002	Hubbard			
	AO	2001/0039497	11/2001	Hubbard			
	AP	2003/0157976	8/2003	Simon			
	AQ	2003/0055679	3/2003	Soll			
	AR	2002/0111210	8/2002	Luciano			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO	
	AS						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER					DATE CONSIDERED		
*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							